

AMERICAN COMMITTEE ON THE HISTORY OF THE SECOND WORLD WAR

NEWSLETTER

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- Rufus E. Miles, Jr., "Hiroshima: The Strange Myth of Half a Million American Lives Saved," International Security (Vol. 10, No. 2, Fall 1985)

GENERAL INFORMATION

Established in 1967 "to promote historical research in the period of World War II in all its aspects," the American Committee on the History of the Second World War is a private organization supported by the dues and donations of its members. It is affiliated with the American Historical Association, with the International Committee for the History of the Second World War, and with corresponding national committees in other countries, including Austria, East and West Germany, Italy, the Netherlands, Poland, Romania, the Soviet Union, Spain, and the United Kingdom. The ACHSWW meets annually with the American Historical Association and issues a semiannual newsletter.

MEMBERSHIP

Membership in the ACHSWW is open to anyone interested in the era of the Second World War. Annual membership dues of \$10.00 (\$2.00 for students) are payable at the beginning of the calendar year. There is no surcharge for members abroad, but it is requested that dues be remitted directly to the Secretary of the ACHSWW (not through an agency or a subscription service) in U.S. dollars. (A membership renewal form is regularly attached to the fall newsletter.)

THE 1985 ANNUAL MEETING

The annual meeting of the ACHSWW was held in conjunction with that of the American Historical Association at the Marriott Marquis Hotel in New York, N.Y., on 28 and 29 December 1985.

The joint session with the AHA, "After Forty Years: The Atomic Bomb and the Surrender of Japan," was held on the afternoon of the 28th. (A paper read at that session, "Hiroshima: The Strange Myth of Half a Million American Lives Saved," by Rufus A. Miles, a former senior fellow of the Woodrow Wilson School, Princeton University, and a former thirty-year career official of the U.S. government, is appended to this newsletter as published in the Fall 1985 issue of the Harvard University Center for Science and International Affairs quarterly International Security.)

The ACHSWW business meeting was convened on the afternoon of the 29th by the ACHSWW chairman, Prof. Arthur L. Funk, University of Florida. The secretary and newsletter editor, Prof. Donald S. Detwiler, Southern Illinois University at Carbondale, reported that committee expenditures had exceeded income, including voluntary donations submitted with dues, by \$8.20 during the past reporting year (the twelve months since 1 December 1984). The ACHSWW had begun the current reporting year, at the beginning of December 1985, with a bank balance of just over \$200.00.

Prof. Funk reported in some detail on the meeting of the International Committee on the History of the Second World War that had been held together with the International Congress of Historical Sciences in Stuttgart, Federal Republic of Germany, late in the summer of 1985. (A report on the meeting, kindly provided by Prof. Jürgen Rohwer of the German Committee on the History of the Second World War, is attached in translation.)

The committee chairman thanked the program chairman for the 1985 annual meeting of the ACHSWW, Prof. Charles F. Delzell of Vanderbilt University, for having organized and presided at the well attended and well received joint session the day before. Alexander S. Cochran, Jr., of the Department of the Army's Center of Military History, program chairman for the 1986 meeting (to be in Chicago) then reported on tentative plans for a joint session with two (if not three) papers by scholars engaged in writing or revising major works on the basis of new insight gained from recently declassified cryptographic messages. [In the course of the discussion of the 1986 program and future programs, it was noted that the 1987 annual meeting, to be held jointly with the American Historical Association, would probably be held in Washington, D.C. This can now be confirmed. As in 1982, the 1987 annual meeting is to be at the Shoreham Hotel and the Sheraton Washington, with the latter serving as the AHA's headquarters hotel.]

THE 1986 ACHSWW ELECTIONS

In the annual elections held at the beginning of 1986, the eight directors listed in the lower left-hand margin on the first page of this newsletter were elected to three-year terms.

THE MARCH 1986 MEETING OF THE INTERNATIONAL COMMITTEE BOARD

The chairman of the ACHSWW and Vice President of the International Committee on the History of the Second World War, Prof. Arthur Funk, reports that the Board of the Directors of the latter met on Tuesday, 25 March 1986, in Brussels, under the chairmanship of the recently elected president, J. Vanwelkenhuyzen. Also present were International Committee Vice President C. Madajczyk (Poland), Vice President P. Zhilin (USSR, accompanied by V. S. Chilov, Secretary-General of the National Committee of Historians of the USSR); Secretary-Treasurer A. H. Paape (The Netherlands), and Board Member D. Biber (Yugoslavia). Honorary President H. Michel (France), Vice President F. W. Deakin (United Kingdom), and Board Member G. Zaharia were all absent due to ill health.

Most of the discussion concerned the theme for the International Congress of Historical Sciences (CISH) to be convened in Spain in 1990. A. H. Paape suggested, in a well-thought-out paper, either a comparative study of the Resistance, or the political and social consequences of the war. He advocated a framework whereby papers would be distributed at least a year in advance, with the meeting itself devoted only to discussion. Henri Michel had learned that the International Commission on Military History was going to concentrate, for the five days of

its meeting, on guerrilla warfare; the honorary president, by a communication, advocated that we join them with our papers on World War II. It seemed probable that the military commission would plan to meet the same week as CISH, not the week before (as at Bucharest in 1980 and Stuttgart in 1985). The International Committee Board generally felt that while we might participate as individuals, we would lose identity if too closely involved with the commission.

Other proposals were aired, but the one which appealed most to the Board was the theme "Origins of World War II." This theme emerged from three considerations: 1) to involve China and the Asian war; 2) to involve our Spanish hosts; and 3) to take note of 1990 as the fiftieth anniversary of the outbreak of the war (roughly construed as 1937, 1939, and 1941). This has considerable possibilities with perhaps a major paper on overall causes, with others on Japan/China, Germany/Poland, US/Japan, Germany/USSR. Some other themes were discussed, but the foregoing were given the most attention. No decision was made, with the idea of disseminating these ideas for reactions, and to get more facts regarding the program which will be outlined in the next few months by CISH.

A proposal by Gen. Zhilin was entertained--that each country prepare an annotated, selective bibliography, following the format Jürgen Rohwer has used for World War I. The Board was generally favorable to this project.

Secretary-Treasurer Paape reported that most dues of the national committees forming the international committee had been paid, but noted that there were two exceptions. He also expressed his concern that there be early, well-coordinated planning for the meeting in 1990 and that the News Bulletin of the International Committee on the History of the Second World War appear regularly, as planned at Stuttgart. [The bulletin, it should be noted, is the responsibility of the Secretary-Treasurer of the International Committee; if it is not published in Bucharest, as agreed during the Stuttgart meeting, its production may well be resumed in Amsterdam.]

Some future conferences were under consideration, but none had been definitely set except the American conference at Rutgers University at Newark on "World War II and the Shaping of Modern America" (which was to be attended by at least one of the directors of the International Committee, Arthur Funk, and perhaps also a second, Dusan Biber).

Clarification was made regarding the status of the Revue d'Histoire de la 2me Guerre Mondiale. This is not the official organ of the International Committee, but is purely a French journal, tied only informally to the Committee through the person of the honorary president, Henri Michel. Consequently, any communications to the Revue should go via M. François Bédarida, whose Institut d'Histoire du Temps Présent is the official French affiliate of the International Committee. [M. Bédarida himself, it should be added, has been formally designated by his minister as the new representative of the French National Committee in the International Committee on the History of the Second World War.]

ANNOUNCEMENTS

Call for Papers for the Simon Wiesenthal Center Annual

The Simon Wiesenthal Center Annual is a serial publication focussing on the scholarly study of the Holocaust. The first two volumes are briefly described below; the third volume is in production. Papers for the fourth and subsequent volumes are invited from every academic discipline. Manuscripts, which are accepted on a year-round basis, should be submitted to Dr. Gerald Margolis, Managing Editor, Simon Wiesenthal Center, 9760 W. Pico Blvd., Los Angeles, California 90035.

Call for Papers for the Wilburt S. Brown Conference

The History Department of the University of Alabama, in conjunction with the American Military Institute, will sponsor the Wilburt S. Brown Conference on February 4 and 5, 1987, at the University of Alabama in Tuscaloosa. The theme of the conference will be "The Civilian and the Military in the Age of Air Power." The conference will be chaired by Professor Ronald Spector of the University of Alabama History Department. Those wishing to present papers or desiring further information should contact Dr. Michael Hill, Coordinator, Wilburt S. Brown Conference, Department of History, P.O. Box 1936, The University of Alabama, University, Alabama 35486.

Naval Historical Foundation

The Curator of the Naval Historical Foundation, Rear Admiral John D. H. Kane, USN (Ret.), has sent an invitation to submit pictures, documents, and artifacts appropriate for exhibition and preservation in the Naval Historical Center and the Naval Historical Foundation. The Foundation is a non-profit, tax-exempt organization whose objectives are to collect and preserve manuscripts, papers, artifacts and other materials relating to the naval and maritime heritage and traditions of the United States, and to make these items available for research, study, and educational purposes. Membership is open to all interested in the Foundation's objectives. For information, write to the Naval Historical Foundation, Building 57, Washington Navy Yard, Washington, D.C. 20374.

RESOURCES OF THE NATIONAL ARCHIVES

Accessions and Openings

"Philippine Archives" from the Adjutant General's Office

The former Modern Military Headquarters Branch, now known as the Military Reference Branch, has accessioned 687 cubic feet of records of the Adjutant General's Office consisting of the "Philippine Archives," a group of materials created, compiled, or collected by the Recovered Personnel Division (RPD) and its successor agencies.

The records were created in the Philippines during and after World War II and relate to the Japanese invasion and the surrender of the Philippines, resistance activities during the Japanese occupation, the liberation of the Philippines, prisoners of war, and civilian internees. The records also include a variety of pay, procurement, and benefit claims by Filipino civilians, military personnel, and guerrillas. The "Philippine Archives," which are indexed, are in Record Group 407.

Bureau of Aeronautics (U.S. Navy)

Approximately 535 cubic feet of technical reports, 1930-1965; correspondence relating to specifications for aircraft and aircraft components, 1920-1961; contracts and reports on aircraft engines 1918-1956; and office files from several administrative divisions of the Bureau of Aeronautics, 1930-1960, have been accessioned by the Modern Military Field Branch (Record Group 72).

World War II Allied Operational and Occupation Headquarters Records

Thirty-one reels of 35mm. microfilm which comprise the records of the Second Tactical Air Force, Daily Journals, Operation Orders and Instructions, 1944-45, and records of the 21st Army Group, Daily Journals, Message Logs, Operation Orders, 1944-45, have been declassified and are available in the Military Reference Branch (Record Group 331).

Records of Headquarters, United States Air Force

Records of the Headquarters of the United States Air Force, Office of the Director of Intelligence, totalling fifty-seven cubic feet, have been declassified and are available in the Military Reference Branch in Record Group 57. This material includes: decimal correspondence files, 1947-1954 (two cubic feet); selected air attache office files (three cubic feet); correspondence and other documents relating to intelligence activities overseas and in the continental United States, 1945-1954 (forty-two cubic feet); and files relating to various aspects of electronic intelligence, 1946-1953.

Records of Naval Operating Forces

Fifty-five cubic feet of records, containing correspondence, reports, dispatches, and subject files relating to Trust Territories of the Pacific Islands have been declassified and are available in the Military Reference Branch (Record Group 313): records of the Office of the High Commissioner, Pearl Harbor, 1943-1951; Majuro District, 1944-1951; Truk District, 1945-1951; and Saipan District, 1944-1951.

Records of the United States Marine Corps

Modern Military Field Branch has accessioned over 70 cubic feet of statistical reports on personnel, 1916-1959; intelligence reports, 1912-1944; and correspondence and reports of the War Plans Section, 1914-1950, of Headquarters, U.S. Marine Corps (Record Group 127).

Records of the War Department General and Special Staffs

One cubic foot of material has been declassified from the London Office of the U.S. Military Attache's records relating to the special communications circuit for handling "PRIME-POTUS" (Prime Minister-President of the United States) messages exchanged during and after the war by Presidents Roosevelt and Truman and British Prime Ministers Churchill and Attlee, and between their high-ranking advisers, 1942-47 (Military Reference Branch, Record Group 165).

Records of the U.S. High Commissioner for Germany (HICOG)

Fifteen feet of files from the office of John J. McCloy, U.S. High Commissioner for Germany, which are in the custody of the General Branch of the Civil Archives Division, have been declassified. The documents were assembled by the Special Research Project on the McCloy Administration and include a chronological document file, 1949-1952, a daily log of McCloy's activities cross-referenced to the chronological files; weekly staff meeting transcripts; staff announcements; and a press release file for 1949 (Record Group 466).

Dwight D. Eisenhower Library

Aproximately 25 cubic feet of additional manuscripts and related materials have been accessioned, as an accretion to the holdings of the library in Abilene, Kansas, from the Johns Hopkins University Publications Project, The Papers of Dwight D. Eisenhower, for the years 1945-48. The accretion consists of materials collected by the project staff while editing Eisenhower's papers on the occupation of Germany and on the period when he was Army Chief of Staff.

National Archives Publications: Guides to German Records

Guides to Microfilmed Records of the German Navy, 1850-1945: No. 2, Records Relating to U-Boat Warfare, 1939-1945, XIX, 263 pp. The guide, prepared by Timothy Mulligan of the Military Reference Branch (formerly Modern Military Headquarters Branch), describes available records for eight operational U-boat commands and 889 individual U-boats during World War II. The bulk of the descriptions concern the relevant war journals reproduced on 147 rolls of Microfilm Publication T1022, Records of the German Navy, 1850-1945. The descriptions also include references to related German Navy records reproduced elsewhere on T1022, to records in other record groups in the custody of the National Archives (e.g., interrogations of captured U-boat crewmen), and to original German records currently in other depositories. The guide also includes separate indexes for the names of U-boat commanders, the names of Allied and German ships, and selected subjects. These index the descriptions of the guide, but not the records themselves.

Guides to German Records Microfilmed at Alexandria, Va., No. 84. Records of the German Armed Forces High Command (Oberkommando der Wehrmacht/OKW), Part VII: Wehrwirtschafts- und Ruestungsamt--Wi Rue Amt (War Economy and Armament Office), XLVII, 193 pp. This guide, assembled by George Wagner, describes 73 rolls of records of the Armed Forces High Command's War Economy and Armament Office (OKW/Wehrwirtschafts- und Ruestungsamt, or OKW/Wi Rue Amt) reproduced on Microfilm Publication T77 (Record Group 212). The records pertain to the German economic exploitation of the occupied areas of the western USSR and the Baltic states, 1941-1945, and to studies of war economy capabilities of Germany and other eastern European powers, 1935-1941. The guide is indexed to provide easy access to its descriptions, but the index applies only to the descriptions and not to the records themselves.

National Archives Microfilm Publications

The following microfilm publications, available for research at National Archives microfilm reading facilities, may also be purchased at \$20.00 per roll from the Publications Sales Branch (NEPS), U.S. National Archives and Records Administration, Washington, D.C. 20408.

Records of the Department of State Relating to the Internal Affairs of the Free City of Danzig, 1919-1939. Decimal File 860k. (M1378, 11 rolls.) General Records of the Department of State, Record Group 59.

Records of the Department of State Relating to the Problems of Relief and Refugees in Europe Arising from World War II and Its Aftermath, 1939-49. Decimal files 840.48 and 840.48 Refugees. (M1284, 70 rolls.) Introduction by David A. Langbart. General Records of the Department of State, Record Group 59.

Subject Index to Naval Intelligence Reports, 1940-1946. (M1331, 20 rolls.) Introduction by Rebecca Livingston. Records of the Office of the Chief of Naval Operations, Record Group 38.

BIBLIOGRAPHY

Donald R. Whitnah and Edgar L. Erickson, The American Occupation of Austria: Planning and Early Years. Contributions in Military Studies, No. 46. Westport, Conn.: Greenwood Press, 1985. Pp. xiv & 352.

This study was begun by the late Edgar L. Erickson, a professor of history at the University of Illinois who had worked in the Office of the Chief of Military History. He compiled an extensive manuscript focussing on the Civil Affairs Division and the military planning for the occupation of Austria. Before his retirement in 1967, followed by his death in 1968, Erickson entrusted his work to Donald Whitnah, who had served in postwar Austria, first in the Army Engineers and then as a War Department civilian (and who now is professor and chairman of the history department at the University of Northern Iowa). With an additional decade's perspective, Whitnah has incorporated Erickson's work into a broader treatment--a well-informed account not just of the American role in the occupation of Austria, but of the (by no means inevitable) emergence of a politically and economically viable, geographically undivided Austrian Republic in postwar Central Europe.

The archival research and interviews in Austria and the United States on which the work is based are reflected in extensive backnotes. The book also has a glossary of abbreviations and terms, a bibliography, and a detailed index.

Simon Wiesenthal Center Annual. Vol. 1. Alex Grobman, Editor-in-Chief; Henry Friedlander, Yisrael Gutman, Daniel Landes, and Sybil Milton, Editors; Gerald Margolis, Managing Editor. Chappaqua, N.Y.: Rossel Books, 1984. Pp. 250.

Simon Wiesenthal Center Annual. Vol. 2. Henry Friedlander and Sybil Milton, Editors; Zwi Bacharach, Wolfgang Benz, and Daniel Landes, Corresponding Editors; Gerald Margolis, Managing Editor. White Plains, N.Y.: Kraus International Publications, 1985. Pp. viii & 240.

There are nine articles and four reviews in the first, eight articles and six reviews in the second issue of this annual, established as the first serial publication in the United States focussing on the scholarly study of the Holocaust. The subject is interpreted broadly, so that articles and reviews in these two issues cover a wide range of topics pertaining to National Socialist Germany and the "Final Solution," 1933-1945; European Jewry during World War II; refugees, rescue, and immigration; displaced persons and postwar trials; and modern antisemitism.

The first volume includes Christopher R. Browning's "Reply to Martin Broszat Regarding the Origins of the Final Solution," a revised version of his response initially published in German in the January 1981 issue of Vierteljahrshefte fur Zeitgeschichte to Broszat's refutation, published in the October 1977 issue of the same journal, of David Irving's claim in Hitler's War (N.Y.: Viking, 1977) that the "Final Solution" was implemented without Hitler's approval; there are articles by the principle editors of the second and third volumes: Sybil Milton, "The Camera as Weapon: Documentary Photography and the Holocaust" (illustrated with six photographs) and Henry Friedlander, "The Judiciary and Nazi Crimes in Postwar Germany"; and there are review essays by Lawrence L. Langer on Syberberg's seven-hour film on Hitler and by Richard Breitman on the documentation on emigration in the archival collection on the Holocaust edited by the late John Mendelsohn. In the

second volume are review essays by Michael H. Kater on Robert Lewis Koehl's The Black Corps: The Structure and Power Principles of the Nazi SS (Madison and London: The University of Wisconsin Press, 1983) and on Ian Kershaw's Popular Opinion and Political Dissent in the Third Reich: Bavaria 1933-1945 (Oxford: Clarendon Press, 1983) and articles by Guy Stern on the American response to burning of books in Germany in 1933 and by Joel J. Forman on Holocaust numismatics.

With these two volumes, the Simon Wiesenthal Center Annual is clearly established as a vehicle for substantial contributions to the history of the era of the Second World War--an era that stood in the shadow of the Holocaust, on which this serial is primarily but by no means narrowly focussed. (The first volume is available from the center at 9760 West Pico Boulevard, Los Angeles, California 90035; the second and subsequent volumes from Kraus International Publications, One Water Street, White Plains, New York 10601.)

ATTACHMENTS

1. Report on the Symposium of the International Committee on the History of the Second World War (Stuttgart, 1985)

The first attachment is the editor's translation of the German text of a report prepared, and kindly provided him, by Professor Jürgen Rohwer of Stuttgart University, Director of the Library for Contemporary History in Stuttgart.

2. Rufus E. Miles, Jr., "Hiroshima: The Strange Myth of Half a Million American Lives Saved"

This paper, which was presented at the joint session of the ACHSWW and the AHA at the annual meeting in December 1985 in New York, is duplicated here in the form in which it was printed in the Fall 1985 issue of International Security (Vol. 10, No. 2) with the kind permission of the author and of the publisher. International Security, sponsored and edited by the Center for Science and International Affairs, Harvard University, 79 John F. Kennedy Street, Cambridge, Massachusetts 02138, is published quarterly by the MIT Press (copyright by the President and Fellows of Harvard College and the Massachusetts Institute of Technology) and is available by annual subscription from The MIT Press, Journals Department, 28 Carleton Street, Cambridge, Massachusetts 02142, for \$20.00 for individuals (\$18.00 student/retired) and \$52.00 for institutions.

SYMPOSIUM OF THE INTERNATIONAL COMMITTEE ON THE
HISTORY OF THE SECOND WORLD WAR

During the Sixteenth International Congress of Historical Sciences the International Committee on the History of the Second World War held a symposium in Stuttgart from 29-31 August 1985 on the theme "The Economy in the Second World War."

In France in 1950, the "Commission d'Histoire de l'Occupation et de la Libération de la France" established as its organ the journal "Revue d'Histoire de la Deuxième Guerre Mondiale." Accordingly, the commission was renamed a year later "Comité d'Histoire de la Deuxième Guerre Mondiale." The purpose of the committee was to bring together persons knowledgeable on World War II from French institutions--archivists, librarians, and scholars--in order to work through the history of France in the Second World War. In a similar manner, corresponding committees and commissions emerged in other countries, initially--beginning in 1961--joined together loosely through a "Comité de Liaison," but organized as the "Comité International d'Histoire de la Deuxième Guerre Mondiale" after 1967. Beginning with France, Belgium, Great Britain, The Netherlands, Yugoslavia, Poland, and the Soviet Union as charter members, the International Committee has grown to the point where there are now fifty-three affiliated national commissions. Until the meeting in Stuttgart, it was presided over by Henri Michel (France). Representatives of Great Britain, Poland, the Soviet Union, and the United States are vice presidents; the secretary-general was the Belgian representative, Dr. Vanwelkenhuyzen, who in Stuttgart was elected president, and a Netherlander was treasurer. The executive committee or board of directors also includes representatives of Romania and Yugoslavia.

Participants in the Stuttgart symposium included 105 persons who had already taken part in the Colloquium of the International Commission on Military History, as well as 110 further persons from 36 countries (Albania, Australia, Austria, Belgium, Cameroun, Canada, the People's Republic of China, Cuba, the Dominican Republic, France, the German Democratic Republic, the Federal Republic of Germany, Greece, Hungary, Ireland, Israel, Italy, Japan, South Korea, Lebanon, Luxemburg, The Netherlands, Norway, Poland, Romania, the Soviet Union, Spain, Sweden, Switzerland, Tunesia, Turkey, the United Kingdom, the United States, the Vatican, Yugoslavia, and Zaire). The sessions took place in the auditorium of the State Trade Office in Stuttgart, where the guests were welcomed by the Mayor of Stuttgart, Dr. Rommel, the State Secretary in the Federal Ministry of Defense, Dr. Rühl, and the President of the German Commission on the History of the Second World War, Prof. Klaus Jürgen Müller (Hamburg).

The first session, which took place as a joint function of the International Commission for Military History and of the International Committee on the History of the Second World War, began with an address of greeting by the President of the ICMH, Prof. A. Corvisier (Paris) and a general introductory lecture by Prof. Ranki (Budapest). The first session was devoted to the "Planning and Implementation of Economic Strategy" and included reports by Prof. Boelcke (Stuttgart, Federal Republic of Germany), Prof. Milward (Great Britain; at present, Florence), Prof. Goljuschko (Mosow), Prof. Kimball (Newark, U.S.A.), Prof. Caron (Chatenay, France), and Prof. Pollo (Tirana, Albania).

The second session dealt with "The Provision of Raw Materials in War" and included papers by Prof. Nakamura (Tokyo), Prof. Eichholz (Berlin, German Democratic Republic), Dr. Moisuc and Dr. Botoran (Bucharest), Dr. Kolar (Laibach, Yugoslavia), Prof. Babin (Moscow), Dr. R. D. Müller (Freiburg), and Dr. Dombrady (Budapest).

The theme of the third working session was "The Financing of the War." Papers were presented by Prof. Wolff (Paris), Prof. Legnani (Milan), Prof. Theodoropoulos (Athens), Prof. van der Wee (Brussels), Prof. Pihkala (Helsinki), Prof. Marguerat (Neuchâtel, Switzerland), Dr. Tjushkevich (Moscow), and Dr. Trask (Washington).

The general discussion in the fourth working session was introduced by Dr. Bartenev (Moscow), Prof. Milward (Great Britain), Prof. Dr. Schausberger (Vienna), Prof. Luczak (Warsaw), and Prof. Klein (Amsterdam).

Concluding comments were made by Prof. Milward, Prof. Zhilin (Moscow), and Prof. Kimball.

On Saturday the business meeting took place at which Dr. Vanwelkenhuyzen was elected as the new president.

State Secretary Dr. Rühl gave a reception for the participants in the symposium in the Library for Contemporary History in Stuttgart. This provided an occasion to see the exhibition on the history of the First World War that had been prepared by the Library for Contemporary History in the Book Museum of the Württemberg State Library. In a friendly and relaxed atmosphere it was possible--across frontiers of blocks and conflicts--to carry on conversations in small groups and to reach understandings regarding future cooperation.

Hiroshima | Rufus E. Miles, Jr.

The Strange Myth of Half a Million American Lives Saved

Of all the political and military decisions in history, few have been subject to more analysis and comment than the atomic bombings of Hiroshima and Nagasaki. It is mystifying, therefore, that historians have not long ago exploded the demonstrable myth that those attacks probably saved half a million lives of American soldiers, sailors, and marines, and prevented numerous British fatalities and vast numbers of Japanese deaths, as President Truman alleged in his autobiography a decade after the war's end.¹

Such a justification was neither needed nor used by President Truman in the weeks immediately following the obliteration of Hiroshima, followed within days by the surrender of Japan, since the public overwhelmingly approved of the action. As time went by, however, and questions were increasingly asked about the necessity and wisdom of launching the age of nuclear weapons in this manner, estimates of deaths averted were adduced as an important element—perhaps the most important element—of the moral justification for Truman's decision.

By the time historians were given access to the secret files necessary to examine this subject with care, the myth of huge numbers of American, British, and Japanese lives saved had already achieved the status of accepted history. Even when secret wartime documents were declassified, historians did not focus on the striking inconsistencies between these documents and those parts of the principal decision-makers' memoirs that dealt with estimates of lives saved. Had they done so, and followed the subject where it led, they would have been forced to conclude that the number of American deaths prevented by the two bombs would almost certainly not have exceeded 20,000 and would probably have been much lower, perhaps even zero.

Rufus E. Miles, Jr., is a former senior fellow of the Woodrow Wilson School, Princeton University, and a former thirty-year career official of the U.S. government.

1. Harry S. Truman, *Memoirs*, Vol. 1, *Year of Decisions* (Garden City, N.Y.: Doubleday, 1955), p. 417. This figure and others of similar magnitude appear in history texts used by American secondary schools, for example, Madgic, Seaberg, Stopsky, and Winks, *The American Experience* (Menlo Park, Calif.: Addison-Wesley, 1979), p. 515; and Bragdon and McCutcheon, *A Free People* (Riverside, N.J.: McMillan, 1970), p. 377.

Four days after 70,000–80,000 citizens of Hiroshima died from the atomic bomb blast on August 6, 1945 and many thousands more were injured, and one day after half as many residents of Nagasaki met a similar fate, the Japanese communicated to the United States their urgent desire to surrender, subject only to the condition that they might keep their Emperor. On the next day, the United States accepted that condition with the stipulation that, until total demilitarization had been achieved and other Allied demands had been met, “the authority of the Emperor and the Japanese Government to rule the state shall be subject to the Supreme Commander of the Allied Powers.”² Three days later, on August 14, Japan accepted the stipulation, and the war was over. Understandably, the American people were euphoric that the most devastating war in history had ended. Not surprisingly, they gave principal credit to the new weapon.

A *Fortune* magazine poll taken two months after Japan’s capitulation showed that less than 5 percent of Americans, as a matter of principle, disapproved of the military use of a bomb a thousand times as powerful as any of its predecessors.³ Some 22 percent, still seething over the infamous “sneak” attack on Pearl Harbor, wished that more such bombs had been quickly dropped before the Japanese had a chance to surrender. Nevertheless, an articulate minority, deeply concerned over the possibility of a world armed with atomic weapons, began to raise questions about the decision to drop the bombs. At the same time, some of Truman’s advisers, most notably Secretary of War Henry L. Stimson, the overseer of the Manhattan Project that developed the fission bombs, felt the need for a fuller explanation and justification for the first use of these bombs than simply the quick end of the war. Had the bombs not been used, Stimson strongly implied in the February 1947 issue of *Harper’s*, a massive and costly invasion of Japan would have been necessary. In his words:

We estimated that if we should be forced to carry this plan [to invade first Kyushu and then Japan’s main island of Honshu] to its conclusion, the major fighting would not end until the latter part of 1946, at the earliest. I was informed that such operations might be expected to cost over a million casualties, to American forces alone. Additional large losses might be expected among our allies, and of course, if our campaign was successful and

2. Appendix E, “Letter from James Byrnes,” in Robert J.C. Butow, *Japan’s Decision to Surrender* (Stanford: Stanford University Press, 1954), p. 245.

3. “The Fortune Survey,” *Fortune*, December 1945, p. 305.

if we could judge by previous experience, enemy casualties would be much larger than our own.⁴

Stimson's estimate of the consequences of what he implied was the only effective alternative to the use of the bomb thus became the main reference point for the rest of the 1940s and, as a result, has been influential ever since.⁵ Neither in the *Harper's* article nor in his autobiography, published later in 1947, did Stimson divulge any specific source of this forbidding estimate of casualties or when and how it had been calculated. It was simply implied that the casualty projections had been thoughtfully and carefully arrived at within the War Department.

Six years later, Winston Churchill described in his memoirs the mutual massacre that he imagined was avoided by the unforgettable flight of the "Enola Gay" over Hiroshima and the instantaneous destruction of the city:

I had in my mind the spectacle of Okinawa island, where many thousands of Japanese, rather than surrender, had drawn up in line and destroyed themselves by hand-grenades after their leaders had solemnly performed the rite of *hara-kiri*. To quell the Japanese resistance . . . might well require the loss of a million American lives and half that number of British. . . . Now all this nightmare picture had vanished. In its place was the vision—fair and bright indeed it seemed—of the end of the whole war in one or two violent shocks. . . . To avert a vast, indefinite butchery, to bring the war to an end, to give peace to the world, to lay healing hands upon its tortured peoples by a manifestation of overwhelming power at the cost of a few explosions, seemed, after all our toils and perils, a miracle of deliverance.⁶

Both men thus presented the issue as if the sole practical alternative to the use of atomic bombs was an immense, long, and bloody invasion of the heartland of Japan, but neither provided any support for this belief. They apparently assumed that this premise would be accepted without question, and it was, even though it was severely flawed. Where the statements differ is that Churchill pictures a million American *deaths*, while Stimson had mentioned a million American *casualties*, implying, on the basis of Pacific experience, a fifth as many deaths. (Casualties include injured and temporarily

4. Henry L. Stimson, "The Decision to Use the Atomic Bomb," *Harper's Magazine*, February 1947.

5. See, for example, Herbert Feis, *The Atomic Bomb and the End of World War II* (Princeton: Princeton University Press, 1966), p. 12; and The Harvard Nuclear Study Group, *Living with Nuclear Weapons* (New York: Bantam Books, 1983), pp. 72, 73.

6. Winston S. Churchill, *The Second World War*, Vol. 6, *Triumph and Tragedy* (Boston: Houghton Mifflin, 1953), pp. 638–639.

missing.) This discrepancy led me to search for its origin and, in doing so, to find much more than the careless and imprecise use of terms and figures.

The purpose of the analysis that follows is to show that, if a decision had been taken not to use the atomic bomb, there were three nonnuclear strategies, each of which was considered in some degree by President Truman and his military and civilian advisers, and all three of which could have been tried, seriatim, with an extremely high probability of success and with a relatively small number of deaths. The first, in fact, might have achieved its purpose with no fatalities at all. A fourth alternative—the massive invasion of Honshu if all else failed—will be shown to have been clearly unrealistic; furthermore, there is a compelling case that, even if it had occurred, the number of American deaths would have been nowhere near half a million. The following analysis of the four courses of action seeks to understand why Truman, Churchill, and Stimson used grossly exaggerated figures in their memoirs. The use of the atomic bombs must have been based largely on other considerations than the saving of huge numbers of American lives. Yet the myth persists that this was the most important factor in the decision.

Strategy Number One: A Negotiated Peace

In the spring of 1945, evidence mounted that the capacity of the Japanese air force to defend its homeland against escalating bombardment had rapidly deteriorated. This information, accompanied by a sharp drop in losses of American planes and pilots, convinced Acting Secretary of State Joseph Grew that the Japanese would be open to a negotiated peace. Grew believed that the Japanese were so nearly beaten by the end of May 1945 that there was an excellent chance that they would capitulate soon thereafter if the unconditional surrender doctrine Truman had inherited from Roosevelt were publicly interpreted by Truman to allow retention of the Japanese Emperor—the revered symbol of the thousand-year-old Japanese dynasty. Grew was the only official of Cabinet status or of high military rank with access to the President who had had lengthy experience in Japan (ten years as U.S. Ambassador there) and thus was able to assess the attitudes of Japan's ruling group. Grew sought to persuade Truman of his views on May 28, three weeks after V-E Day.⁷

7. Joseph C. Grew, "The Emperor of Japan and Japan's Surrender," in Walter Johnson, ed., *Turbulent Era: A Diplomatic Record of Forty Years, 1904-1945* (Boston: Houghton Mifflin, 1952), pp. 1423-1924; and Truman, *Memoirs*, Vol. 1, pp. 416-417.

The timing was propitious in Grew's judgment since B-29s were causing enormous devastation throughout Japan. On March 29 they had rained incendiaries on Tokyo, killing and injuring more Japanese (83,000), it was later estimated, than did the Hiroshima bomb (70,000–80,000). By the end of May, virtually all major Japanese cities had been attacked with incendiaries, disastrously impairing Japan's capacity to carry on the war.⁸ Truman, then President for only a month and a half, was impressed by Grew's arguments but thought it best to have him discuss his proposals with the Secretaries of War and the Navy and the Joint Chiefs of Staff. Grew did so on the following day, May 29.

By coincidence, on the same day on which Grew met with Truman, Harry Hopkins, Roosevelt's trusted international trouble-shooter, who had been called out of retirement by Truman to improve worsening relations with Stalin, was meeting in Moscow with the Soviet leader. Hopkins cabled Truman that "Japan is doomed and the Japanese know it. Peace feelers are being put out by certain elements in Japan and we should therefore consider together our joint attitude and act in concert about the surrender of Japan."⁹

Grew's recommendation did not, however, come as a new idea to those present. The Joint Chiefs had been presented a month earlier with a report from their planning staff that contained the following statement: "The concept of 'unconditional surrender' is foreign to the Japanese nature. Therefore, 'unconditional surrender' should be defined in terms understandable to the Japanese who must be convinced that destruction or national suicide is not implied. This could be done at the governmental level by a 'declaration of intentions' which would tell the Japanese what their future holds. Once convinced of the inevitability of defeat, it is possible that a government could be formed that would sign and could enforce a surrender instrument."¹⁰

Secretary of War Stimson and Secretary of the Navy James Forrestal seemed especially receptive to such a "declaration of intentions," but not eager to issue it immediately for reasons that were not clear to Grew. Stimson, almost totally preoccupied with the diplomatic and military implications of the atomic bomb, scheduled for test in early to mid-July, had been discussing the use of the bomb with Truman, including the probable need to make a

8. *The War Reports of General of the Army George C. Marshall, General of the Army H.H. Arnold, and Fleet Admiral Ernest J. King* (Philadelphia: J.B. Lippincott, 1947), pp. 440–444.

9. Robert P. Sherwood, *Roosevelt and Hopkins* (New York: Harper & Bros., 1948), p. 903.

10. Joint Chiefs of Staff, 924/15, Report by Joint Staff Planners, Subj: Pacific Strategy, 25 April 1945. Modern Military Branch, National Archives, 381 PA-6/10/43-Section 12.

crucial decision during the Potsdam meeting in late July. If the declaration of intentions were to be delayed until the bomb became a tested military weapon, the Japanese might be warned of its power while simultaneously being assured that “unconditional surrender” did not mean an end to the sovereignty of their nation or of their imperial dynasty. Because of the extreme secrecy of the “S-1”—the code for the atomic bomb—Stimson could not reveal his reasons for counseling delay.¹¹

Within the Department of State, there was less agreement than there was in the War Department over the idea of redefining the unconditional surrender doctrine. Assistant Secretaries Dean Acheson and Archibald MacLeish argued against any change in the Roosevelt doctrine of total surrender, not only because they felt sure it would be very unpopular with the American public, but because they took a dim view of the Emperor and regarded him as having been a tool of the infamous Premier Tojo and his military clique, and even as a possible subject of war crimes prosecution. Both saw him as a stumbling block to the development of genuine democracy in Japan.¹² Despite this opposition, Grew, with the support of his Far East expert, Eugene Dooman, persisted in his efforts. Yet he was to be disappointed. On the morning of June 18, Truman met with Grew and indicated that, while he was favorable to the idea of issuing a declaration of intentions to the Japanese to reassure them on the matter of their long-term sovereignty, he had decided to wait until the Potsdam conference a month later and issue it as a joint proclamation.¹³

On the afternoon of the same day, President Truman held a meeting with the Joint Chiefs of Staff, Secretaries Stimson and Forrestal, and Assistant Secretary of War John McCloy to help prepare himself for the Potsdam conference. He wanted to be briefed on the invasion plan that the War Department had prepared for an assault on Kyushu, Japan’s southern island, scheduled for November 1. Toward the end of the discussion, Admiral William Leahy, the President’s personal chief of staff, recommended modification of the unconditional surrender doctrine. The minutes of that meeting record the following exchange:

11. Although Assistant Secretary of State William L. Clayton was a member of the super-secret “Interim Committee” to discuss the possible uses of the atomic bomb, there seems to be no evidence that any other State Department official, not even his superior, Acting Secretary Grew, was allowed to share knowledge of the “S-1” project.

12. Len Giovannitti and Fred Freed, *The Decision To Drop The Bomb* (New York: Coward-McCann, 1965), pp. 180–184.

13. Truman, *Memoirs*, Vol. 1, p. 417.

"Admiral Leahy said he could not agree with those who said to him that unless we obtain the unconditional surrender of the Japanese that we will have lost the war. He feared no menace from Japan in the foreseeable future, even if we were unsuccessful in forcing unconditional surrender. What he did fear was that our insistence on unconditional surrender would result only in making the Japanese desperate and thereby increasing our casualty lists. He did not think this was at all necessary."

"The President stated that it was with this thought in mind that he had left the door open for Congress to take appropriate action with reference to unconditional surrender. However, he did not feel that he could take any action at this time to change public opinion on this matter."¹⁴

By July—the month of the Potsdam conference with Truman, Churchill, and Stalin—the momentum of Japan's peace party developed very much as Grew had predicted. On July 12, Foreign Minister Togo, at the Emperor's behest, instructed the Japanese Ambassador in Moscow, Naotake Sato, to inform Foreign Commissar Molotov that the Emperor wanted the war ended immediately and wished to send Prince Fuminare Konoye to Moscow with power to negotiate a peace on almost any terms, presumably short of the unacceptable sacrifice of the imperial dynasty. Ambassador Sato was rebuffed in his efforts to gain the cooperation of the Soviet Union as an intermediary, in large part because the Soviets were not eager to have Japan surrender before the U.S.S.R. could carry out its agreement, made at Yalta, to enter the war against Japan and claim its share of the spoils.¹⁵ On the next day, July 13, three days before the successful atomic test at Alamogordo, New Mexico, naval intelligence monitors intercepted and decoded the cables between Foreign Minister Togo in Tokyo and Ambassador Sato in Moscow. They read, in part:

Togo to Sato: "See Molotov before his departure for Potsdam. Convey His Majesty's strong desire to secure a termination of the war. . . . Unconditional surrender is the only obstacle to peace. . . ."

Sato to Togo: "There is no chance whatsoever of winning the Soviet Union to our side and of obtaining her support on the basis set forth in your cables. . . . Japan is defeated. . . . We must face the fact and act accordingly. . . ."¹⁶

14. "Minutes of meeting held at the White House on 18 June 1945 at 1530 hours" (Truman Library); also contained in *Foreign Relations of the United States, Diplomatic Papers: The Conference of Berlin, 1945* (Washington, D.C.: U.S. Government Printing Office, 1960), p. 909.

15. Butow, *Japan's Decision to Surrender*, p. 129.

16. *Ibid.*, p. 130.

Lewis Strauss, then special assistant to Secretary of the Navy Forrestal, recalled later¹⁷ that Admiral Redman, Chief of Naval Communications, brought him these intercepted messages on July 13, and that subsequent intercepts grew more desperate. Strauss said that as fast as they were received Forrestal sent them to Admiral Leahy, who was then with Truman in Potsdam. Forrestal had not been invited to attend the Potsdam conference but finally decided to go anyway, carrying with him the file of decoded messages, the last of which was dated July 25. Mentioning the imminence of a complete collapse, it instructed Ambassador Sato to go to any place that Molotov might designate, and while still maintaining "unconditional surrender" to be unacceptable, to state that Japan had "no objection to a peace based on the Atlantic Charter."¹⁸

Immediately on Forrestal's arrival in Potsdam on July 28, he took the messages to the new Secretary of State, James F. Byrnes, who saw them "in detail" for the first time, although he had previously known of their existence and presumably the thrust of their content. In Strauss's words, "Forrestal was too late by forty-eight hours. The Potsdam Declaration—the ultimatum to Japan—had been dispatched on the twenty-sixth, and events were now in the saddle, riding the decision-makers."¹⁹ On the very day Forrestal and Byrnes were talking, Japan characterized the ultimatum with a unique Japanese word, *mokusatsu*, meaning "not worth of reply."²⁰ Although the ultimatum reassured the Japanese that they would remain a sovereign nation, it failed to assure them that they might keep their Emperor, and it promised stern justice to all war criminals. No mention was made of the atomic bomb.

Five days later, there was one last intercept. "The battle situation has become acute," it said, and concluded, "Since the loss of one day relative to this present matter may result in a thousand years of regret, it is requested that you immediately have a talk with Molotov."²¹

After the successful test of the atomic bomb on July 16, President Truman and his advisers felt sure that they had the means to end the war quickly without any concessions to or negotiations with Japan. And the Soviets had every reason to want to carry out their pledge to enter the war on or about

17. Lewis L. Strauss, "A Thousand Years of Regret," in *Men and Decisions* (Garden City, N.Y.: Doubleday, 1962), p. 188.

18. *Ibid.*

19. Strauss, "A Thousand Years of Regret," p. 189.

20. Butow, *Japan's Decision to Surrender*, p. 145.

21. Strauss, "A Thousand Years of Regret," p. 189.

August 8, thus staking out a share of the credit for the Pacific victory and the division of power in its aftermath. Upon Japan's rejection of the Allied ultimatum, the dynamics of the situation seemed to the decision-makers clearly to favor the prompt use of the new weapon.

As soon as Japan rejected the Allied demand on July 28, the Air Force had its green light. The Hiroshima bomb was dropped on August 6. The Soviet Union, as promised, declared war on Japan on August 8. The Nagasaki bomb was released on August 9. Japan sued for surrender on August 10, on the condition that they could keep their Emperor. The United States accepted Japan's conditional surrender on August 11, with certain stipulations. Three days later, Japan agreed to the stipulations. The war was over.

The Grew strategy, which had envisioned a successful American diplomatic effort to end the war by an offer and acceptance of a "conditional surrender" (the same condition that became the accepted basis for surrender in August) by the end of July, was subsequently considered by several people to have had more than an outside possibility of success. Among those who thought so in retrospect, in addition to Grew himself, were Hanson Baldwin, military analyst for *The New York Times*, and Robert J.C. Butow, author of *Japan's Decision to Surrender*.²² Especially significant was the view expressed by Secretary of War Stimson, as stated in his autobiography, co-authored with McGeorge Bundy. "It is possible," said Bundy, "in the light of the final surrender, that a clearer and earlier exposition of American willingness to retain the Emperor would have produced an earlier ending to the war. . . . But in the view of Stimson and his military advisers, it was always necessary to bear in mind that at least some of Japan's leaders would seize on any conciliatory offer as an indication of weakness."²³ At another point Bundy writes: "Only on the question of the Emperor did Stimson take, in 1945, a conciliatory view; only on this question did he later believe that history might find that the United States, by its delay in stating its position, had prolonged the war."²⁴

Obviously, if the Grew-Stimson approach had been tried and proved successful, the use of the atomic bombs would have been unnecessary and could have saved no lives. It might have brought the war to the same

22. Hanson W. Baldwin, *Great Mistakes of the War* (New York: Harper & Bros., 1950), p. 92; and Butow, *Japan's Decision to Surrender*, pp. 132-135.

23. Henry L. Stimson and McGeorge Bundy, *On Active Service in Peace and War* (New York: Harper & Bros., 1947), p. 628.

24. *Ibid.*, p. 629.

conclusion, possibly even before the atomic bombs were dropped and before the Soviet Union had time to enter the war against Japan.

Strategy Number Two: Intensified Bombing and Blockade Until November 1, 1945

This scenario is based on the assumption that the war might have continued with the use of conventional weapons during the period from early August until the Japanese surrendered, and that capitulation would have occurred prior to November 1, 1945—the date of the scheduled invasion of Kyushu. During this period, the United States would have tightened its sea blockade and intensified its air bombardment. This hypothesis brings into bold relief the deteriorating condition of Japanese defenses and the accumulation of evidence that Japan would have surrendered before the scheduled date for the invasion of Kyushu, even without the atomic bomb. The following excerpts from postwar official reports make this clear:

Admiral Ernest King, Commander-in-Chief, United States Fleet: “[In the final thirty-six days of the war] the forces under Admiral Halsey’s command had destroyed or damaged 2,804 enemy planes, sunk or damaged 148 Japanese combat ships, sunk or damaged 1,598 enemy merchant ships, destroyed 195 locomotives and damaged 109 more. In addition, heavy blows had been struck at industrial targets and war industries, effectively supplementing the bombings by B-29’s. This impressive record speaks for itself and helps to explain the sudden collapse of Japan’s will to resist.”²⁵

General of the Army George C. Marshall, Chief of Staff: “During July the superbombers had steadily increased the scale of their attacks on the Japanese homeland. From the Marianas bases the B-29’s averaged 1,200 sorties a week. Okinawa airfields which now occupied almost all suitable space on the island began to fill with heavy bombers, mediums and fighters which united in the aerial assault on the Japanese islands, her positions on the Asiatic mainland, and what was left of her shipping. Fighters from Iwo Jima swept over the Japanese islands, strafed Japanese dromes and communications and gave the superbombers freedom of operation. The Third Fleet augmented by British units hammered Japan with planes and guns, sailing boldly into Japanese coastal waters. The warships repeatedly and effectively shelled industries along the coasts. . . . *These mighty attacks met little opposition.*”²⁶

The devastation of Japan prior to the bombing of Hiroshima was confirmed by the Strategic Bombing Survey established by Stimson to assess the effects

25. *The War Reports*, p. 243.

26. *Ibid.*, p. 678. Emphasis added.

of the massive air attacks. The 1,000 members of the Survey interviewed hundreds of Japanese military officers, government officials, political and economic leaders, and others, and reviewed great numbers of Japanese records. At the end of this lengthy process, the conclusion of the Survey was:

Based on a detailed investigation of all the facts and supported by the testimony of the surviving Japanese leaders involved, it is the Survey's opinion that certainly prior to 31 December 1945, and in all probability prior to 1 November 1945, Japan would have surrendered even if the atomic bombs had not been dropped, even if Russia had not entered the war, and even if no invasion had been planned or contemplated.²⁷

These and other reports were available to Stimson, Churchill, Truman, and others when they wrote their memoirs. Yet they showed no evidence of having paid any attention to those records. The mass of data presented by the Survey and its conclusions are simply not compatible with the clear implication of these men that without the use of the atomic bombs as many as a million American casualties or, alternatively, a half-million deaths might have had to be paid in battles in the heartland of Japan. It seems probable that what these memoirists meant to convey was that, at the time of the decision, they *thought* that this number of losses could be avoided by the use of atomic weapons, although later information made them realize that Japan was closer to surrender than earlier U.S. intelligence assessments had led them to believe. But that is not what they said. They had a responsibility to make this distinction clear. Even had they done so, however, many unanswered questions and inconsistencies would have remained.

According to this second scenario, based on information available after the war's end, American casualties would have been, at most, in the low thousands, with the number of deaths almost certainly not exceeding 5,000 and probably considerably less. So great was the destruction of Japanese air power that American aircraft losses from bombing missions over Japan dropped from a high of 5.7 percent in January to 0.4 percent in July.²⁸ Naval losses would also have been extremely light, judging from the reports of Admiral King. Since Army ground forces would not have been engaged at all, losses would have been either zero or negligible.

27. The United States Strategic Bombing Survey, from "The Political Target Under Assault," in Walter Wilds, ed., *Japan's Struggle to Surrender* (Washington, D.C.: U.S. Government Printing Office, 1946), p. 13.

28. *The War Reports*, p. 439.

In the light of General Marshall's and Admiral King's assessments of the extremely weak condition of Japan during the last months of the war, and in view of the conclusions of the Strategic Bombing Survey that Japan would in all probability have surrendered before November 1, 1945, and considering the shock to Japan of the Soviet declaration of war on August 8, this second scenario seems, in retrospect, to have been the more probable one in the event the atomic bombs had not been available or had deliberately not been used.

It can only be a matter of conjecture as to how long it would have taken Japan to surrender after the Soviet Union's declaration of war, and as to how many casualties would have been inflicted on Japanese forces and civilians pending final peace terms, but the evidence strongly suggests that surrender would have come quickly. The shock and demoralization of Japanese military officers that resulted from the Soviet attack was similar in effect to the Hiroshima bomb, in the opinions of Lt. General Seizo Arizue, chief of G-2 of the Japanese Army General Staff, and Genki Abe, Home Minister in the Japanese Cabinet, according to their postwar statements.²⁹ Especially since the Emperor was then taking an unprecedented role in seeking a prompt end to the war, it seems highly probable that, even by itself, the sudden Soviet assault would have shifted the Japanese government's intense surrender efforts from communicating with the Soviets to negotiating with the United States via Switzerland, as occurred after the double shock of the atomic bombings and the Soviet entry into the war.

Key U.S. officials not only wanted to save American lives, but, as Army historian Louis Morton observed, ". . . some responsible officials feared the political consequences of Soviet intervention and hoped that ultimately it would prove unnecessary."³⁰ Any tentative peace offer made to the United States through Switzerland, therefore, would have been immediately pursued and concluded, especially since the Secretaries of War and Navy, Stimson and Forrestal, and their Joint Staff Planners had come to the conclusion

29. Giovannitti and Freed, *Decision To Drop The Bomb*, p. 333.

30. Louis Morton, "The Decision to Use the Atomic Bomb," in Kent Roberts Greenfield, ed., *Command Decisions* (Washington, D.C.: Office of the Chief of Military History, Department of the Army, 1960), p. 509. In a footnote, Morton identifies some of the "responsible officials," citing Byrnes (*Speaking Frankly* [New York: Harper & Bros., 1947], p. 508), Stimson (*On Active Service*, p. 637), and Leahy (*I Was There* [New York: Whittlesey House, McGraw-Hill, 1950], p. 208), and adds: "This feeling may unconsciously have made the atom bomb solution more attractive than it might otherwise have been. Some officials may have believed, too, that the bomb could be used as a powerful deterrent to Soviet expansion in Europe."

in April that a statement to Japan that they could retain their sovereignty—and by implication their Emperor—might, in their dire circumstances, end their resistance.

Because relations between the United States and Japan have followed so cordial a path for four decades, there has been an effort, especially of late, to make it appear that if the two atomic bombings had not occurred, the Japanese people would have suffered massive slaughter or starvation, or both, in an Allied invasion of Honshu, and that, from the Japanese standpoint, the bombings might properly be viewed as benign. This thesis was advanced two years ago by Dr. Taro Takemi, president of the Japanese Medical Association, and by Edwin O. Reischauer, former U.S. Ambassador to Japan.³¹ The evidence presented here, plus evidence from Butow's *Japan's Decision to Surrender*,³² makes their argument seem extremely questionable.

Strategy Number Three: A November 1945 Attack on Southern Kyushu

This scenario assumes that, despite a tight naval blockade and extremely heavy bombing for three months after early August, as well as critical losses against Soviet armies in Manchuria and possibly Korea, the Japanese still would have wanted to hold out and would have been able to do so, and that they would not have surrendered until after the invasion of Kyushu, scheduled to begin on November 1, 1945. This scenario further assumes that the invasion would have proceeded according to the War Department's plan and that within thirty days the United States would have won the battle for southern Kyushu. This represents the most pessimistic of the possibilities envisioned by the Strategic Bombing Survey.

The meeting at which Truman gave his approval to the invasion plan, if that became necessary, was an important one. It was convened on June 18, 1945, with the Joint Chiefs of Staff, the Secretaries of War and Navy, the Assistant Secretary of War, and Admiral Leahy, the President's personal chief of staff. This was the same meeting at which Admiral Leahy unsuccessfully advocated modifying the unconditional surrender doctrine to permit the

31. Dr. Taro Takemi, "Remembrances of the War and the Bomb," *Journal of the American Medical Association*, August 5, 1983, pp. 618–619; and Edwin O. Reischauer, "Hiroshima Bomb Saved Japan from a Worse Fate," *The Boston Globe*, August 30, 1983, p. 21.

32. Butow, *Japan's Decision to Surrender*, pp. 112–188.

retention of the Emperor. Following are the other salient points of the meeting.³³

First, the presentation by General Marshall related solely to OLYMPIC, the plan to invade Kyushu. Neither then nor at any other time did the Joint Chiefs discuss with Truman a plan for the invasion of Honshu.

Second, all projections of losses were in terms of casualties. No figures on expected deaths were presented or discussed. According to the minutes, casualties for the first thirty days on Kyushu, by the end of which U.S. forces would have a firm hold on the southern half of Kyushu (separated from the north by a mountain range), with full control of its various airfields, "should not exceed the price we have paid for Luzon"—31,000 casualties.³⁴ (Later reports showed that the Luzon casualty figures included 7,765 deaths, a ratio of 25 percent deaths, somewhat higher than the 20 percent ratio in 1944 and 1945 in the Pacific.) When questioned by Admiral Leahy about whether the 31,000 estimate might be too low in view of the reportedly heavier than expected casualties on Okinawa, Admiral King replied that he thought that a realistic casualty figure for Kyushu would lie somewhere between the Luzon losses of 31,000 and the estimated but not finally determined Okinawa casualties of 41,700. The final count for Okinawa casualties turned out to be 65,631, of which 7,374 were deaths.³⁵ This confirmed Admiral Leahy's information about the much heavier casualties than expected on Okinawa, but not a greater number of deaths. Upon the unanimous recommendation of the Joint Chiefs of Staff, Truman gave his approval to the plan.

Third, the War Department plan, and General Marshall's comment on it, suggested strongly that by the time southern Kyushu was in Allied hands, Japan would surrender. Fourth, according to the minutes, "The record of General MacArthur's operations from 1 March 1944 through 1 May 1945 shows 13,742 U.S. killed compared to 310,165 Japanese killed, or a ratio of 22 to 1."³⁶

Fifth, President Truman expressed the hope, at the meeting's end, that there would be a possibility of preventing an Okinawa from one end of Japan to the other, emphasizing his expression of concern, when the meeting was

33. "Minutes of meeting held at the White House on 18 June 1945."

34. *Ibid.*

35. Roy E. Applebaum, James M. Burns, Russell A. Gugeler, and John Stevens, "Okinawa: The Last Battle," in *United States Army in World War II: The War in the Pacific* (Washington, D.C.: Historical Division, Department of the Army, 1948), p. 490.

36. "Minutes of meeting held at the White House on 18 June 1945."

called, to minimize American casualties. Finally, the meeting did not reveal or discuss any alternative to the invasion plan.

Top naval and air corps officers believed that Japan could be forced to surrender through the strangulation of its military machine and its economy by naval blockade and air bombardment. That view was not presented at this meeting because these officers had been persuaded by their Army ground force counterparts to think of the conquest of southern Kyushu as the final military engagement in such a strangulation strategy, to be accomplished at a tolerable cost—31,000 casualties, 7,000–8,000 deaths, the price paid for Luzon. The discussion would have been very different if the War Department had sought preliminary approval of the invasion of Honshu, which both the Navy and the Army Air Force regarded as sure to be more expensive in human losses than was necessary.

If this “worst-case scenario” had occurred—that is, if the atomic bomb had not worked or had not been used, and if Japan had somehow held out beyond November 1, 1945, and if the successful invasion of southern Kyushu had been carried out, and if the Russians had entered the war in August (as they did) and engaged the Japanese in Manchuria and Korea, and if at that point the Japanese had surrendered—then a reasonable estimate of American deaths almost surely would have been not more than 20,000 and probably less than 15,000 (5,000 for air and naval losses before the invasion, not more than 10,000 during the invasion of Kyushu, and an added allowance of 5,000 for unforeseen losses).

Strategy Number Four: A Spring 1946 Invasion of Honshu

The fourth strategy is the one we began with—that which Stimson, Churchill, and Truman implied would have been the only alternative to the Hiroshima and Nagasaki bombings. It is based on the following assumptions:

1. That even after the defeat of Japanese forces on southern Kyushu, and after seven months of ever-increasing bombing of Japan during the fall and winter of 1945–46, including three months of bombing and strafing from the close-in airfields of Kyushu, Japan could and would have held out;
2. That after the devastation of Japan’s navy, merchant marine, and air force, Japan could and would have held out;

3. That after seven months of progress by Soviet armies that, in all probability, would have occupied all of Manchuria and Korea and been poised and eager to aid in the assault on Honshu from the west and north, Japan would still have continued to struggle;
4. That after the demolition of Japan's industrial capacity, essential to replace lost military equipment, it would have had the wherewithal to inflict extremely heavy casualties on an enemy that was superbly equipped and that completely controlled the air over the battlefields;
5. That the Japanese people, a large portion of whom would by then have been at or near the starvation level, would have been able and willing to continue to support the war;
6. That a nation whose government and Emperor were seeking, almost frantically, in July 1945 to work out terms of surrender would have kept fighting under hopeless circumstances;
7. And finally, that such a devastated and thoroughly beaten nation, whose armies in the Pacific had taken losses of 22 times as many deaths as they had inflicted on General MacArthur's forces during their march toward Japan in 1944 and 1945, could have inflicted some 500,000 deaths—70 percent more than the 292,000 the United States armed forces lost in all of World War II—on the world's best-equipped army, navy, and air force.

In addition to these assumptions, this scenario asks that we believe that after the conquest of Kyushu, at a cost of under 15,000 deaths and probably no more than 7,000–8,000, and after all of the above conditions had been realized, if President Truman had been presented with a plan for the invasion of Honshu that was estimated to cost half a million American deaths and many more Japanese troops, he would have approved it. It does not seem credible.

The puzzle is especially confounding when one seeks to determine where Stimson's figure of a million potential casualties—the figure he first used in his 1947 *Harper's* article—came from. There seems to be no evidence that any systematic and thoughtful effort was ever made by the War Department to estimate what it would cost in casualties or lives if Honshu were invaded. One is forced to conclude that Stimson's figure must have been an "off-the-top-of-the-head" estimate made in the early spring of 1945, before the War and Navy departments realized how rapid was the deterioration of Japan's

capacity to resist, and then uncritically repeated on various occasions after the situation had radically changed.

One partial and plausible answer to the puzzle may be found in an obscure letter that Truman wrote on January 12, 1953, eight days before he left office. In reply to an inquiry by Professor James C. Cate, a former Air Force historian then at the University of Chicago, Truman said, "I asked General Marshall [at Potsdam] what it would cost in lives to land on the Tokyo plain and other places in Japan. It was his opinion that such an invasion would cost at a minimum a quarter of a million American casualties, and might cost as many as a million, with an equal number of the enemy. The other military men agreed."³⁷ Here, Truman talks of casualties, as Marshall surely would have. But if we use the Pacific ratio of deaths to casualties, Marshall is estimating a minimum of 50,000 American deaths and a possibility of as many as 250,000, quite different from the figures used by Truman two years later in his autobiography. Marshall's estimate in this version, it should be noted, was in response to Truman's specific question as to what it might cost to conduct a massive invasion of Honshu, and makes no mention of any discussion as to whether circumstances had by then changed to make the need for such an invasion very remote and its advisability even more questionable. One of Marshall's biographers, Forrest Pogue, believes that by then Marshall felt sure that Japan would capitulate before such an invasion could occur.³⁸ This was also the view of Brigadier General George A. Lincoln, one of the Army's top planners.³⁹

Perhaps the strangest part of this last scenario is that the participants in the decision-making process—those who wrote their memoirs and used these estimates of lives that may have been saved by the use of the atomic bombs, especially Secretary Stimson in 1947 and President Truman in 1955—did not seem to realize either its extreme unlikelihood or the implication that they and General Marshall would have agreed to such an invasion of Honshu if they actually believed that a half-million American deaths might eventuate.

37. Wesley Frank Craven and James C. Cate, eds., *Army Air Force in World War II*, Vol. 5, *The Pacific, Matterhorn to Nagasaki, June, 1944–August, 1945* (Chicago: University of Chicago Press, 1953), pp. 712–713.

38. Interview with Forrest Pogue, Director, Dwight D. Eisenhower Institute for Historical Research, Smithsonian Institution, November 16, 1983.

39. Morton, "The Decision to Use the Atomic Bomb," p. 509. General Lincoln felt that an American landing on Kyushu (at a cost of an estimated 31,000 casualties—7,000–8,000 lives), with the Soviets bearing down hard, would certainly end the war.

Strangulation of Japan without the invasion of Honshu would surely have been tried first. Even more likely, Truman would have acted upon the belief of Grew, Stimson, Forrestal, and the Joint Chiefs of Staff that the unconditional surrender doctrine could and should be tempered enough to negotiate a conditional surrender that would end the war without an extremely costly invasion—the same conditional surrender that did end the war on August 14.

Why Truman used the figure of half a million American deaths prevented seems something of a mystery at first. He never approved a plan that would have involved such a mutual massacre and, beyond any reasonable doubt, he never would have. In psychological terms, however, such an estimate of potential American losses is not mysterious. The use of these figures by Truman and others can be explained by a subconscious compulsion to persuade themselves and the American public that, horrible as the atomic bombs were, their use was actually humane inasmuch as it saved a huge number of lives. The larger the estimate of deaths averted, the more self-evidently justified the action seemed. Exaggerating these figures avoided, in large part, the awkward alternative of having to rethink and explain a complex set of circumstances and considerations that influenced the decision to drop the bombs.

Epilogue

This analysis points strongly to the conclusion that the number of American lives saved as a result of the dropping of the two bombs was, with a high degree of probability, not more than 20,000 and was quite probably considerably less. This is not a judgment as to whether the decision to drop the bombs was a sound decision on other grounds. What it says is that the traditional rationale for the decision—that half a million American lives might have been lost in an all-out invasion of Japan had the bombs not been used—simply does not hold up under careful examination, and that the action must be explained in some other way.

Truman and Stimson offered succinct and corroborating versions of the basic reason for the decision:

Truman: "Let there be no mistake about it. I regarded the bomb as a military weapon and never had any doubt that it should be used. The top military advisers to the President recommended its use, and when

I talked to Churchill he unhesitatingly told me he favored the use of the atomic bomb if it might aid to end the war."⁴⁰

Stimson: "In 1941 and 1942 they [the Germans] were believed to be ahead of us, and it was vital that they should not be the first to bring atomic weapons into the field of battle. Furthermore, if we should be the first to develop the weapon, we should have a great new instrument for shortening the war and minimizing destruction. At no time, from 1941 to 1945, did I ever hear it suggested by the President, or by any other responsible member of the government, that atomic energy should not be used in the war."⁴¹

In the minds of most of the top military and civilian officials of the government, the decision made by Roosevelt in 1942 to develop the atomic bomb carried with it the implicit intent to use it as soon as it became available if it would shorten the war. There was no need to take into account other considerations. The question was not whether the bomb should be used, but how. Its legitimacy to gain a quick end of the war was taken for granted. That this was the most powerful single influence in the decision to use the bomb seems highly probable. Like all the new and more lethal weapons in history, the atomic bomb was its own imperative.

That there were other influential considerations, however, there can be no question. The most powerful of these concerned immediate relations with the Soviet Union. After the successful test of the first bomb on July 16, while Truman, Churchill, and Stalin were meeting in Potsdam, it became evident to the American delegation that this new weapon—as an actuality, not a scientific forecast—had suddenly metamorphosed the strategic situation. From the Cairo Conference in November and December 1943, President Roosevelt and General Marshall, and later President Truman, had been eager to have the Soviets help the United States defeat Japan. As Truman and Marshall left for Potsdam, it was still one of their major purposes to gain a renewed commitment from Stalin that the Soviets would shortly declare war against the Japanese empire. However, after the full report of the effects of the atomic blast at Alamogordo reached Potsdam on July 21, estimating that the energy generated was the equivalent of 15,000 to 20,000 tons of TNT or more, American officials realized that they had the means to end the war

40. Truman, *Memoirs*, Vol. 1, p. 419.

41. Stimson and Bundy, *On Active Service*, p. 613.

very quickly without help from the U.S.S.R. and before the Soviets could effectively stake a claim for the joint occupation of Japan, as they had done in Germany, and otherwise gain political and military advantages in East Asia that might go beyond the Yalta agreement. Harry Hopkins had reported on May 28 that Stalin expected to participate in the joint occupation and administration of Japan. A less appetizing prospect could hardly be imagined by Truman. In retrospect, it seems completely understandable that, if the bomb were to be employed at all, its most advantageous use would be as early as possible. Truman's concern is well illustrated by a brief instruction from him to General Marshall within hours after the United States had accepted Japan's conditional surrender on August 11. Truman ordered the War Department to move immediately to occupy a major Korean port and a major port on the Chinese coast, provided they could get there ahead of the Soviets.⁴²

The combination of the unquestioned legitimacy of the bomb as a military weapon and the double rationale for using it quickly—its shock value to end the war abruptly and save whatever the number of lives, and its effectiveness in forestalling the Soviets from what might be large military gains and corresponding political demands in East Asia—were almost surely strong influences on Truman when he made his decision in Potsdam. To fault him, in hindsight, for that decision would be to ignore the circumstances and atmosphere that surrounded the decision. The more appropriate question is: Why were the circumstances and atmosphere not conducive to terminating the war without the onus being placed on the United States for the legitimization of nuclear weapons in the arsenals of the world?

For nearly four decades, the belief that the Hiroshima and Nagasaki bombs averted hundreds of thousands of American deaths—far more than those bombs inflicted on the Japanese—has been a part of accepted history. It was this judgment, more than any other factor, that seemed to give legitimacy to the American use of nuclear weapons. Discovering that this premise was false should help to stimulate a hard rethinking of other premises of U.S. nuclear weapons policies.

42. Military Archives Division, Modern Military Headquarters Branch, National Archives, RG 165, OPD 800, Sec. 1, Case #8.