

PROJECT SUBMISSION FORM
For Central Management Information Systems Projects

Instructions: Complete this form and e-mail it along with any requested documents to the chair of the CMIS Advisory Committee: Diana Blake (dkblake@ksu.edu).

Project Name: **Date:**
Submitted By:

1. Points of Contact

Indicate the individuals responsible for sponsoring, planning, and implementing this project.

Position	Name and Department	Phone	E-mail
Project Sponsor	Larry Moeder	532-4679	larrym@ksu.edu
Project Manager	Tanya McGee	532-4686	tanyap@ksu.edu
Functional Lead	Tanya McGee	532-4686	tanvap@ksu.edu
Technical Lead	Della Cook	532-4786	dmb@ksu.edu

2. Business Problem

Provide a brief description of the business problem.

Student Financial Assistance needs to install, test, and move to production the necessary programs and database schema changes related to financial aid processing for the 2006-2007 academic year. We will not be able to award or disburse aid correctly without regulatory updates installed to our system, FAMS.

3. Statement of Work

Describe the overall goal of the project. The statement should be short, precise, and clear.

To provide financial aid to students in an efficient and timely manner.
A smooth and streamlined update to our system without a hint that a major update has occurred.

4. Project Objectives

Provide a brief list of what the project is to accomplish (maximum of 5 objectives). Along with the statement of work, the objectives define the boundaries (scope) of the project. Objectives may be both short and long term.

A high level objective: Continuation of an automated, stream-lined processing of federal aid.
The high level objective would encompass:

- schema changes to databases that impact overall processing
- updating KATS so that students have on-line access to their account & can accept financial aid offers in a real time environment
- continuing & tweaking electronic initiatives to notify students to review KATS for more information about their aid
- receiving/sending financial aid data to/from the Dept of Ed, Sallie Mae, & various other outside entities
- developing individual student budgets/COA, which lead to the financial aid award package
- disbursing \$150 million in federal, state, & institutional aid

5. Regulatory or Policy Changes Driving This Project

If this project is a result of a regulatory or policy change, place an "x" in the appropriate column below. Attach a separate document (e.g., Federal or State statute), or provide a URL to a website that can provide detailed information about the regulatory or policy change.

	Federal Government	State of Kansas	Board of Regents
Regulatory (Note: not much has been published for the 06-07 year, but should be available online shortly) www.fsadownload.ed.gov/docsStudentAidGateway.htm	X		
Policy			

6. Institutional and Information Technology Strategies

Place an "x" next to each statement to indicate how this project aligns with university or IT strategies.

Strategic Planning Themes (From the 1999-2001 Strategic Planning Committee) See: http://www.ksu.edu/provost/planning/index.htm .	
1. Support recruitment, retention, and professional development of high quality faculty.	
2. Strengthen the learning and teaching environment.	X
3. Enhance the quality of graduate and research programs.	
4. Develop the library infrastructure.	
5. Develop the Information Technology infrastructure.	
6. Enhance a diverse and multicultural environment.	X
7. Enhance the international emphases.	
8. Define the university's role in mediated learning.	
9. Contribute to the state's economic development and environmental health.	X
University Aspirations (From the "Review of Tuition Principles" PowerPoint Presentation) See: http://www.ksu.edu/vpaf/	
1. Become a Top 10 Land Grant university as a composite of all three categories of our mission - teaching, research and extension.	
2. Retain K-State's traditional enrollment pattern even though student costs may increase.	X
3. Provide competitive compensation packages for all employees.	
4. Provide a level of Other Operating Expense support consistent with K-State's status as a doctoral research-extensive university.	
5. Maximize financial flexibility at all levels within the university.	X
IT Strategies (from annual IT Management and Budget Report to the State of Kansas)	
1. Leverage information assets to serve faculty, staff, and students.	X
2. Move to a networked system with capability to support the clients as the user access device.	
3. Create a system of information assets that are well organized on centrally managed Oracle relational databases.	
4. Create tools and sources of information to allow users to do most of their computing without assistance.	
5. Make information available widely on the campus.	
6. Provide remote monitoring and maintenance of IT systems.	
7. Expand continuing professional education through use of the Internet.	

8. Purchase commercial products when they exist and create tools to bridge the time until commercial products are available.	
9. Identify the most critical university facilities and their IT resources to facilitate recovery and increase awareness of the business risks of IT service outages.	
10. Maintain and advance the reliability, redundancy, and recoverability of the information technology infrastructure.	
11. Enhance user assistance and support.	
12. Empower the user and expand the user base with an increasing variety of computing and telecommunication tools, capability, and interfaces.	
13. Encourage mediated instruction, distance learning, e-tech transfer, digital library functionals, and e-life-long learning.	
14. Establish policies to guide the access, use, organization, confidentiality, and integrity of information assets.	
15. Develop and maintain integrated information structure and access.	
16. Seek additional funding sources.	
17. Encourage a teamwork approach and enhance staff education.	

7. Estimated Project Schedule

Provide estimated start and end dates for each major phase of the project. Dates may overlap. See definitions of project phases below.

Project Phases	Est. Start Date (Mo./Yr)	Est. End Date (Mo./Yr)
Concept	10/2005	12/2005
Planning	11/2005	02/2006
Implementation	12/2005	10/2006

Definition of Project Phases

Concept: Establishes the conceptual view and general definition of the project and includes the CMIS Advisory Committee submission, review, and approval process. Include the estimated time to prepare and submit the Project Submission form to CMIS.

Planning: Activities include developing a detailed Project Plan/Work Breakdown Structure (WBS). The Project Plan/WBS should define the tasks and estimate the time, cost, and resource requirements for the project.

Implementation: Includes project start-up, execution, and close-out activities described below.

*During project **start-up** the Project Team is formed, a kick-off meeting is conducted, and requirements are reviewed. The Project Plan/WBS should be finalized and approved by the Project Sponsor, Steering Committee, and Executive Computing Committee as appropriate.*

*Upon receipt of necessary approvals, the Project Team **executes** the Project Plan/WBS. Project activities are tracked, monitored, and communicated. The Project Plan/WBS is reviewed and updated on a regular basis. Activities also include change control, risk management, and issue identification.*

***Close-out** activities include user acceptance of project deliverables, conducting a lessons learned session, completion of project documentation, and celebration of project completion.*

8. Functional and Technical Resources

Indicate all functional and technical resources and estimated person hours required for this project. List the names of the resources, not the position title, unless the name of the resource is unknown. Include new positions if needed and place an "x" in the "New Resource" column. For assistance with technical resource estimates contact the appropriate IT unit. See the CMIS website (www.ksu.edu/infotech/cmisis) for a list of current IT contacts. Add additional lines if needed.

Name of Resource	New Resource	Estimated Person Hours
Tanya McGee		200
Robert Gamez		40
Ben Kohl		125
<i>Vacant position</i>		125
Linda Burgess		50
Janet Flanary		15
Reva Bright		15
Other SFA Staff		25
Della Cook		200
Karen Noffsinger		40
Mike Stauffer		40
Delaine Kleiner		30
Laura Oesterhaus		5
Mike Ediger		30
Jim Keating and/or other Perkins/HPSL staff members		5
Grand Total – Estimated Hours		945

9. Out-of-Pocket Costs

Provide estimated out-of-pocket costs by fiscal year and indicate types of expenditures (e.g., hardware, software licensing, consulting services, etc.). Add additional lines if needed.

Fiscal Year	Type of Expenditures	Est. Low	Est. High
	n/a	\$	\$
		\$	\$
		\$	\$
		\$	\$
Grand Total – Estimated Costs		\$	\$

10. Project Funding

If this project has out-of-pocket costs indicate source(s) and amount of funding.

Source of Funds	New Resources	Existing Resources	Total
Administrative Department or College	\$	\$	\$
IT Department	\$	\$	\$
Central IT (VPAST)	\$	\$	\$
Separate Project Funds (Describe)	\$	\$	\$
Other: (Describe)	\$	\$	\$
Don't Know	\$	\$	\$
Grand Total	\$	\$	\$

11. Project Dependencies and Relationships

List other major projects that must either precede or follow this project. Also list other projects, if done concurrently, which could result in an over-commitment of resources.

Other major projects that must precede this project:

n/a

Other major projects that must follow this project:

n/a

Other major projects, if done concurrently, could result in an over-commitment of resources:

Several members of this project are also committed to the implementation of iSIS. This will require concurrent work on each project. With the Admissions Office scheduled to go live June 2006, interfaces from iSIS to FAMS will need to be developed, tested & implemented. We have also started work on implementing a new Scholarship Application process to be available when Admissions goes live. This application must be built internally from the ground up (nothing exists in Oracle).

12. Risks and/or Consequences

Briefly describe the risks and/or consequences of not doing this project, or if the project cannot be completed within the estimated timeframe indicated in Section 7. Include impact on operations, students, staff, other systems, etc.

If this project was not completed, Kansas State University's ability to provide federal financial assistance would be severely limited. This translates into \$95 million in FFEL loans (including school as lender), \$12.5 million in Pell Grants, and \$5.4 million in campus based aid programs. These figures do not include institutional or state aid.

Without federal aid, many students would not be able to attend K-State, which would impact tuition revenue.

13. Planned System Retirement

If this project will have a major impact on a system that is planned for retirement within the next two years, explain why this change must be completed prior to system retirement.

Although OSS is slated to come up within the next couple of years, we need to be able to maintain day-to-day operations. The federal regulatory and general system updates will enable us to continue at the current level of service to our students.

14. Other Information

Provide any other information you feel is important about this project.

n/a